

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.  
530 Virginia Road, P.O. Box 9133  
Concord, MA 01742-9133

Telephone: (978) 341-0036

Facsimile: (978) 341-0136

**CENTRAL LOCATION  
FACSIMILE COVER SHEET**

**RECEIVED**  
**CENTRAL FAX CENTER**

APR 22 2004

Examiner: Roy R. Teller Group: 1654

Date: April 22, 2004

Client Code: 3037.1004-001

Facsimile No.: 703-872-9306

From: Sandra A. Brockman-Lee, Esq.

Subject: Paper: Copy of Amendment After Final and Notice of Appeal

Docket No.: 3037.1004-001

Applicants: Stacey J. Bell and Judith Shabert

Serial No.: 09/815,566

Filing Date: March 23, 2001

**OFFICIAL**Number of pages including this cover sheet: 14Please confirm receipt of facsimile: Yes ☐ No ☐**Comments:**

Dear Examiner Teller:

As I mentioned in my voice-mail message earlier today, the following are attached: copies of the Amendment After Final, Amendment Transmittal and Notice of Appeal, filed by Certificate of Mailing with the U.S. Patent and Trademark Office on February 12, 2004. A copy of the postcard receipt filed with these documents and stamped by the U.S. Patent and Trademark Office is also enclosed.

Respectfully submitted,



Sandra A. Brockman-Lee  
Registration No. 44,045

Privileged and Confidential - All information transmitted hereby is intended only for the use of the addressee(s) named above. If the reader of this message is not the intended recipient or the employee or agent responsible for delivering the message to the intended recipient(s), please note that any distribution or copying of this communication is strictly prohibited. Anyone who received this communication in error is asked to notify us immediately by telephone and to destroy the original message or return it to us at the above address via first class mail.

@PFD\desktop\ODMA\MHODMA\HBSRD\...  
AOC/SAB/bmw  
11/24/03

PATENT APPLICATION  
DOCKET NO. 3037 1004-001  
Expedited Procedure under 37 C.F.R. 1.116  
Examining Group 1654

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Stacey J. Bell and Judith Shabert  
Application No.: 09/815,566 Group: 1654  
Filed: March 23, 2001 Examiner: Teller, R.R.  
Confirmation No.: 6492  
For: NUTRITIONAL SUPPLEMENT TO ALLEVIATE SYMPTOMS  
ASSOCIATED WITH REDUCED LEVELS OF SEROTONIN

**COPY**RECEIVED  
CENTRAL FAX CENTER

APR 22 2004

**OFFICIAL**

CERTIFICATE OF MAILING OR TRANSMISSION	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, or is being facsimile transmitted to the United States Patent and Trademark Office on.	
February 12, 2004	<i>Beverly Weinberger</i>
Date	Signature
Beverly Weinberger	
Typed or printed name of person signing certificate	

Mail Stop AF  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Transmitted herewith is an Amendment After Final under 37 C.F.R. § 1.116 for filing in the above-identified application.

☒ Small entity status of this application under 37 C.F.R. 1.9 and 1.27 has been established by a Small Entity Statement previously submitted.

☐ A Small Entity Statement to establish small entity status under 37 C.F.R. 1.9 and 1.27 is enclosed.

The fee has been calculated as shown below:

(COL 1)	(COL 2)	(COL 3)
CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NO. PREVIOUSLY PAID FOR	PRESENT EXTRA
TOTAL 24	MINUS *	24 0
INDEP 4	MINUS **	4 0
FIRST PRESENTATION OF MULTIPLE DEP. CLAIM		

\* not fewer than 20  
\*\* not fewer than 3

SMALL ENTITY	
RATE	ADDIT FEE
X \$9	\$ 0
X \$43	\$ 0
+ \$145	\$ 0

TOTAL = \$ 0

OTHER THAN SMALL ENTITY	
RATE	ADDIT. FEE
X \$18	\$
X \$86	\$
+ \$290	\$

TOTAL = \$ 0

09/815,566

-2-

Please charge Deposit Account No. 08-0380 for the following fees:

<input type="checkbox"/>	Petition for a Three Month Extension of Time	\$	_____
<input type="checkbox"/>	Amendment Fee	\$	_____
<input type="checkbox"/>	Other Fees:		
	_____	\$	_____
	_____	\$	_____
	TOTAL:	\$	<u>0</u>

A check is enclosed in payment of the following fees:

<input checked="" type="checkbox"/>	Petition for three months Extension of Time	\$	<u>475.00</u>
<input type="checkbox"/>	Amendment Fee	\$	_____
<input checked="" type="checkbox"/>	Other Fees:		
	Notice of Appeal	\$	<u>165.00</u>
	_____	\$	_____
	TOTAL:	\$	<u>640.00</u>

☒ A general authorization is hereby granted to charge Deposit Account No. 08-0380 - for any fees required under 37 C.F.R. 1.16 and 1.17 in order to maintain pendency of this application. A copy of this authorization is enclosed for accounting purposes.

Respectfully submitted,

HAMILTON, BROOK, SMITH &amp; REYNOLDS, P.C.

By *Sandra A. Brockman-Lee*  
Sandra A. Brockman-Lee  
Registration No.: 44,045  
Telephone (978) 341-0036  
Facsimile (978) 341-0136

Concord, Massachusetts 01742-9133

Dated: 2/12/04

**COPY****DOCKETED** *gff*

Initials: AOC/SAB/bmw Docket No.: 3037.1004-001 Date: February 12, 2004

This is to acknowledge receipt of the following documents each filed under Certificate of Mailing Procedure 37 C.F.R. 1.8:

☒ **NOTICE OF APPEAL w/copy**  
☒ Pet. for Ext of Time included in Notice of Appeal form  
☐ Transmittal of Brief on Appeal w/copy  
☐ Pet. for Ext of Time included in Transmittal of Brief on Appeal form  
☐ BRIEF ON APPEAL (3 original) ☒ Check for \$ 640  
☐ REQUEST FOR ORAL HEARING w/copy ☐ Authorization to Charge Fee  
☐ REPLY BRIEF  
☒ Amendment After Final Action w/Amendment Fee Letter w/copy  
☐ Other \_\_\_\_\_

Applicants: Stacey J. Bell and Judith Shabert  
Application No.: 09/815,566 Filed: March 23, 2001  
Title: NUTRITIONAL SUPPLEMENT TO ALLEVIATE SYMPTOMS ...

Date received by the PTO:

@PFD\desktop\ODMA\MHODMA\HBSR05, Manage, 453496, 1